# Classification of graphs of maximum degree $\Delta$ , diameter 2, and cyclic defect

Guillermo Pineda-Villavicencio\*

#### Abstract

We classify the graphs of maximum degree  $\Delta$ , diameter 2, and cyclic defect. The exposition is mainly based on Fajtlowicz's original proof [5].

### 1 Introduction

It is known that the Moore bound, denoted by  $M_{\Delta,D}$  and defined below, represents an upper bound on the order of a graph of maximum degree  $\Delta$  and diameter D [2].

$$M_{\Delta,D} = \begin{cases} 1 + \Delta \frac{(\Delta - 1)^D - 1}{\Delta - 2} & \text{if } \Delta > 2\\ 2D + 1 & \text{if } \Delta = 2 \end{cases}$$
(1)

Moore graphs (graphs whose order equals the Moore bound) exist only for D = 2, in which case  $\Delta = 2, 3, 7$  and possibly 57 [6, 1]. It was asked in [4]: Given non-negative numbers  $\Delta$  and  $\delta$  (defect), is there a graph of maximum degree  $\Delta$ , diameter 2 and order  $M_{\Delta,2} - \delta$ , that is, a  $(\Delta, 2, -\delta)$ -graph? The case  $\delta = 1$  was solved by Erdös *et al.* [4]; with the exception of  $C_4$ , there is no  $(\Delta, 2, -1)$ -graph. Here we consider the defect 2.

It is not difficult to see that if  $\delta < \Delta$  then a  $(\Delta, 2, -\delta)$ -graph must be regular. Let  $\Gamma$  be a simple graph of maximum degree  $\Delta$ , diameter 2 and order  $n = M_{\Delta,2} - 2$ . Then, for  $\Delta \ge 3 \Gamma$  is regular. For  $\Delta \le 2$  the path on 3 vertices is the only such graph. In the following, assume  $\Delta \ge 3$ . The

<sup>\*</sup>work@guillermo.com.au

girth of  $\Gamma$  is 4. Every vertex of  $\Gamma$  is contained in either  $\Theta_2$  (the union of three independent paths of length 2 with common endvertices) or in exactly two 4-cycles. If there are at least 2 paths of length at most 2 from a vertex v to a vertex u, then we say that v is a *repeat* of u(or viceversa), and we may denote v by rep(u). Then, in this case, we have two repeats (not necessarily different) for each vertex of  $\Gamma$ . Next we define the *repeat (multi)graph*  $R_{\Gamma}$  of  $\Gamma$ .  $R_{\Gamma}$  is the graph with  $V(R_{\Gamma}) = V(\Gamma)$ , with two vertices being adjacent iff one is a repeat of the other. Then  $R_{\Gamma}$  is a union of vertex-disjoint cycles of length at least 2.

Let A be the adjacency matrix of  $\Gamma$ , and let B be the adjacency matrix of  $R_{\Gamma}$ , called *the defect* matrix, in which the main diagonals consist entirely of 0's, and the row and column sums are equal to 2. With a suitable labeling of  $\Gamma$ , B becomes a direct sum of symmetric  $a^{th}$ -order circulants of the form,

$$D_a = \begin{pmatrix} 0 & 1 & 0 & \dots & 0 & 1 \\ 1 & 0 & 1 & \dots & 0 & 0 \\ 0 & 1 & 0 & \dots & 0 & 0 \\ \dots & \dots & \dots & \dots & \dots \\ 1 & 0 & 0 & \dots & 1 & 0 \end{pmatrix} a \ge 2$$

This matrix was first studied in [3], in the context of regular graphs of girth 5. Then the following equation holds.

$$A^{2} + A - (\Delta - 1)I_{n} = J_{n} + B$$
<sup>(2)</sup>

where  $J_n$  is the square matrix of order n whose entries are all 1, and  $I_n$  is the identity matrix of order n.

Henceforth we consider the case of  $B = C_n$ . It is well known that the eigenvalues and their corresponding multiplicities of a matrix representing a *n*-cycle are

$$\begin{pmatrix} 2 & 2\cos\frac{2\pi}{n} \times 1 & 2\cos\frac{2\pi}{n} \times 2 & \dots & 2\cos\frac{2\pi}{n} \times (\frac{n}{2} - 1) & -2 \\ 1 & 2 & 2 & \dots & 2 & 1 \end{pmatrix} (n \text{ even})$$
$$\begin{pmatrix} 2 & 2\cos\frac{2\pi}{n} \times 1 & 2\cos\frac{2\pi}{n} \times 2 & \dots & 2\cos\frac{2\pi}{n} \times (\frac{n-1}{2}) \\ 1 & 2 & 2 & \dots & 2 \end{pmatrix} (n \text{ odd})$$

The first row displays the eigenvalues, and the second row their corresponding multiplicities. It is also known that the spectrum of  $J_n$  is  $\begin{pmatrix} n & 0 \\ 1 & n-1 \end{pmatrix}$ .

### 2 The Möbious ladder on 8 vertices as the only solution for $\Gamma$

In this section we prove the following.

**Theorem 2.1** ([5]) If  $B = C_n$ , then  $\Delta = 3$  and  $\Gamma$  is the Möbious ladder on 8 vertices.

**Proof.** If a vertex of  $\Gamma$  is contained in a  $\Theta_2$ , then in *B* we would have a 2-cycle. Therefore, every vertex of  $\Gamma$  is contained in exactly two 4-cycles. Since the number  $\frac{2n}{4}$  of 4-cycles in  $\Gamma$  must be integer,  $n \equiv 0 \pmod{2}$ , and in fact,  $n \equiv 0 \pmod{4}$ .

As A, B and  $J_n$  are symmetric matrices, they are diagonalizable. Since  $J_n$  commutes with A and B, B commutes with A, and hence, all the three matrices are simultaneously diagonalizable, that is, there is an orthogonal matrix P for which  $P^{-1}AP$ ,  $P^{-1}BP$  and  $P^{-1}J_nP$  are diagonal, and the columns of P are corresponding eigenvectors for each of these matrices.

We have that the eigenvalue n of  $J_n$  is paired with the eigenvalue 2 of B, and  $\Delta$  of A (all associated to the all 1's vector).

As -2 is a simple eigenvalue of  $C_n$ , there is a simple eigenvalue  $\gamma$  of A satisfying

$$\gamma^2 + \gamma - (\Delta - 1) = -2. \tag{3}$$

Since in  $C_n$  the eigenspace of -2 contains the vector  $u = (1, -1, 1, -1, ...)^T$ , in A an eigenvector associated with  $\gamma$  has the form  $\alpha u$  for  $\alpha \in \mathbb{R}$ , and consequently, u is also an eigenvector of A, implying that  $\gamma$  must be integer.

As 4|n, 0 is an eigenvalue of  $C_n$  with multiplicity 2. Therefore

$$x^2 + x - (\Delta - 1) = 0. \tag{4}$$

Denote by  $\theta_1$  and  $\theta_2$  the roots of Equation (4). Suppose  $\theta_1$  and  $\theta_2$  are rational. The discriminant of Equation (4) is  $4\Delta - 3$ , and like the one of (3)  $4\Delta - 11$ , must be a perfect square. The only

pair of perfect squares differing by 8 is  $\{1,9\}$ , implying  $\Delta = 3$ , in which case,  $\Gamma$  is the Möbious ladder on 8 vertices.

It follows that  $\theta_1$  and  $\theta_2$  are simple and irrational eigenvalues of  $\Gamma$  (they are algebraic conjugates). We complete the proof of Theorem 2.1 by showing that  $\theta_1$  and  $\theta_2$  cannot be irrational.

In  $C_n$  the eigenspace associated with 0 has dimension 2, and the vectors  $u = (0, -1, 0, 1, ...)^T$ and  $v = (-1, 0, 1, 0, ...)^T$  form a basis of it. Therefore, in A the vectors in the one-dimensional eigenspaces of  $\theta_1$  and  $\theta_2$  have the form  $\alpha u + \beta v$ . Therefore, we can say that the vectors  $t_1 = (1, q, -1, -q, ...)^T$  and  $t_2 = (1, p, -1, -p, ...)^T$  are associated with  $\theta_1$  and  $\theta_2$ , respectively. Since eigenvectors with respect to different eigenvalues are orthogonal, we have

$$pq = -1 \tag{5}$$

Since  $At_1 = \theta_1 t_1$  and  $At_2 = \theta_2 t_2$ , by considering the first two rows of A, we find  $a, b, c, d \in \mathbb{Z}$ such that

$$a + bq = \theta_1 \tag{6}$$

$$c + dq = \theta_1 q \tag{7}$$

$$a + bp = \theta_2 \tag{8}$$

$$c + dp = \theta_2 p \tag{9}$$

Solving the System of Equations (5), (6), (7), (8) and (9), we have

$$b = c \tag{10}$$

$$a+d=\theta_1+\theta_2=-1\tag{11}$$

In the labeling associated to  $\Gamma$ , denote by  $z_i$  the vertex corresponding to the *i* row of *A*, and by  $w_1^{(i)}$ ,  $w_2^{(i)}$ ,  $w_3^{(i)}$  and  $w_4^{(i)}$ , the numbers of neighbors of  $z_i$  such that in  $At_1$  the corresponding components of  $t_1$  are 1, -1, *q* and -*q*, respectively. Then,  $w_1^{(i)} + w_2^{(i)} + w_3^{(i)} + w_4^{(i)} = \Delta$ . Consider  $z_1$ , then  $\theta_1 = (w_1^{(1)} - w_2^{(1)}) + (w_3^{(1)} - w_4^{(1)})q = a + bq$ . Note that *a* is even iff  $w_1^{(1)} \equiv w_2^{(1)}$ (mod 2), and that *b* is even iff  $w_3^{(1)} \equiv w_4^{(1)}$  (mod 2). Since  $\Delta$  is odd,  $a \equiv b + 1 \pmod{2}$ , and from Equations (10) and (11), we have

$$c \equiv d \pmod{2} \tag{12}$$

Analogously, considering  $z_2$ , we have that  $\theta_1 q = (w_1^{(2)} - w_2^{(2)}) + (w_3^{(2)} - w_4^{(2)})q = c + dq$ , and that  $c \equiv d + 1 \pmod{2}$ , which contradicts Equation (12). Therefore,  $\theta_1$  and  $\theta_2$  cannot be irrational, and thus, the theorem follows.

## 3 Concluding remarks

Consider a regular graph  $\Gamma$  of degree  $\Delta$ , girth 5, and order  $M_{\Delta,2} + 2$ . Define the graph  $E_{\Gamma}$  on the same vertex set as  $\Gamma$ , with two vertices being adjacent iff their distance is 3. Then, by using the same method exposed here, we can prove the following.

**Theorem 3.1** There is no regular graph of odd degree  $\Delta \ge 3$ , girth 5, and order  $M_{\Delta,2} + 2$ , such that  $E_{\Gamma} = C_n$ .

# References

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